

# Section 1332 of the Patient Protection and Affordable Care Act (PPACA) State Innovation Waivers – Reinsurance Waiver Quarterly Report

**Reporting Instructions:** Use the following template to capture data for quarterly 1332 waiver grant reporting, as specified in 45 CFR 155.1324(a), and referenced in your grant specific terms and conditions (STC). Quarterly reports are due 60 days following the end of each calendar quarter.

STATE:

A. GRANTEE INFORMATION			
<b>1. Reporting Period End Date</b> Sep 30, 2019		<b>2. Report Due Date</b> Nov 30, 2019	
<b>3. 1st Quarterly Report</b> <input type="radio"/>	<b>2nd Quarterly Report</b> <input type="radio"/>	<b>3rd Quarterly Report</b> <input checked="" type="radio"/>	<b>4. Federal Agency and Organization Element to Which Report is Submitted</b> Consumer Information & Insurance Oversight (CCIIO)
<b>5. Federal Grant Number Assigned by Federal Agency</b> SIWI190008	<b>6a. DUNS Number</b> 1559150930000		<b>6b. EIN</b> 396006451
<b>7. Recipient Organization Name</b> State of Wisconsin Office of the Commissioner of Insurance			
<b>Address Line 1</b> 125 S. Webster Street			
<b>Address Line 2</b>			
<b>Address Line 3</b>			
<b>City</b> Madison	<b>State</b> WI		<b>Zip Code</b> 53703
<b>Zip Extension</b>		<b>8. Grant Period Start Date</b> Jan 1, 2019	
<b>9. Grant Period End Date</b> December 31, 2023			
<b>10. Other Attachments (attach other documents as needed or as instructed by the awarding Federal agency)</b>			

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<b>B. REPORT CERTIFICATION</b>	
<b>11. Certification: I certify to the best of my knowledge and belief that this report is correct and complete for performance of activities for the purposes set forth in the award documents.</b>	
<b>11a. Typed or printed name and title of Authorized Certifying Official</b>  Kate Ludlum, Administrator Funds and Program Management Office of the Commissioner of Insurance	<b>11b. Signature of Authorized Certifying Official</b>      
<b>11c. Telephone (area code, number, and extension)</b>  (608) 264-6232	<b>11d. E-mail address</b>  kate.ludlum@wisconsin.gov
<b>11e. Date report submitted (month/day/year)</b>  November 27, 2019	
<b>C. PROGRESS OF SECTION 1332 WAIVER - General</b>	
<p><b>12. Provide an update on progress made in implementing and/or operating the approved 1332 waiver. OCI held monthly status meetings on the implementation of the waiver to update OCI management on grant administration issues. OCI updated its website with information about the waiver including Frequently Asked Questions for filers, copies of Quarterly reports, and quarterly estimated reinsurance payments based on data received from filers each quarter. <a href="https://oci.wi.gov/Pages/Consumers/HealthcareStabilityPlan.aspx">https://oci.wi.gov/Pages/Consumers/HealthcareStabilityPlan.aspx</a></b></p> <p><b>OCI contacted insurers to begin planning for the audit verification process that will occur in May 2020. OCI worked with its actuarial consultant to prepare the Pass-through Funding report and submitted it by the September 15 deadline.</b></p> <p><b>Insurers submitted preliminary Quarter 2 claims data by August 14, 2019. In addition insurers were asked to submit quarterly revisions to calendar year projections originally submitted in the 2019 rate filings.</b></p>	
<p><b>13. Describe any implementation and/or operational challenges, including plans for and results of associated corrective actions. After the first quarter, only report on changes and/or updates, as appropriate. OCI held a public hearing on July 24, 2019, for the permanent rule with public comment period through August 2, 2019. OCI legal counsel is incorporating the comments and preparing the report to the Legislature in accordance with Wisconsin rule drafting requirements. The emergency rule continues to be effective until the permanent rule is promulgated.</b></p>	

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Insurers asked that they receive prompt notice of the estimated reinsurance payments each quarter. OCI will post the information on its website. Insurers wanted more detail about the audit process. OCI is contacting each insurer to discuss plans for the audit process.

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<b>D. POST-AWARD FORUM</b> <i>(for Quarter Post-Award Forum was held)</i>
<b>14. Was the date, time, and location of the Post-Award Forum advertised 30 days in advance?</b> <input checked="" type="radio"/> <b>Yes</b> <input type="radio"/> <b>No</b>
<b>15. State website address where Post-Award Forum was advertised</b>
<b>16. Date Post-Award Forum took place</b>
<b>17. Summary of Post-Award Forum, held in accordance with §155.1320(c), including all public comments received and actions taken in response to concerns or comments.</b>
<b>18. Other Attachments (attach other documents as needed pertaining to Post-Award Form)</b>